

**MALAYSIA SUSTAINABLE PALM OIL (MSPO)
PUBLIC SUMMARY REPORT**

COMPANY NAME:	Usaha Asiajaya Sdn Bhd		
COMPANY REGISTRATION NO.:	661352-H		
MPOB LICENCE NO:	525454002000 <i>Menjual dan mengalih FFB Bagi tempoh Satu (01) tahun bermula dari 01/01/2019 hingga 31/12/2019 (383.53 ha)</i>		
CLIENT REF.NO:	00001 / 11/2018		
CONTACT DETAILS	NAME:	Mr Hiew Koi Hien	DESIGNATION: Estate Manager
	PHONE:	0198856072	EMAIL: future9398@yahoo.com
NO. OF MILL:	NIL	NO. OF ESTATE(S):	1
MILL CAPACITY:	NIL	TOTAL CERTIFIED AREA:	385.53 Ha
TYPE OF CERTIFICATION:	Individual	TOTAL PLANTED AREA:	262.60 Ha
ADDRESS:	<u>Headquarters</u> Lot 1833, 1 st Floor, Piasau Industrial Estate, 98000 Miri, Sarawak	TEL: Fax:	085-324743 NIL
	<u>Estate Address</u> NCR Land, Sungai Temam, Bakong, 98000 Miri, Sarawak	E-MAIL: Website:	usahaasia@hotmail.com -

AUDIT SCOPE:	Estate operations, comprising of all activities in the oil palm plantation covering the production of Fresh Fruit Bunches (FFB)		
AUDIT STAGE:	2 (Main Audit)		
CERTIFICATE NO:	DMC MSPO 001		
DATE OF ISSUE:	12 February 2019	DATE OF EXPIRY:	11 February 2024
STANDARD(S):			
	MS2530-2:2013 Part 2: General Principles for Independent Smallholders		
√	MS2530-3:2013 Part 3: General Principles for Oil Palm Plantations and Organized Smallholders		
	MS2530-4:2013 Part 4: General Principles for Palm Oil Mills		
REPORT UPDATE ON:	TBA		

5 YEARS AUDIT PROGRAMME

SURVEILLANCE 1 NOT MORE THAN 12 MONTHS FROM CERTIFIED DATE		SURVEILLANCE 2		SURVEILLANCE 3		SURVEILLANCE 4		REASSESSMENT (4 MONTHS BEFORE CERTIFICATE EXPIRY)	
PLAN MONTH	November 2019	PLAN MONTH	November 2020	PLAN MONTH	November 2021	PLAN MONTH	November 2022	PLAN MONTH	July 2023
PROPOSED AREA	Usaha Asiajaya Estate	PROPOSED AREA	Usaha Asiajaya Estate	PROPOSED AREA	Usaha Asiajaya Estate	PROPOSED AREA	Usaha Asiajaya Estate	PROPOSED AREA	Usaha Asiajaya Estate

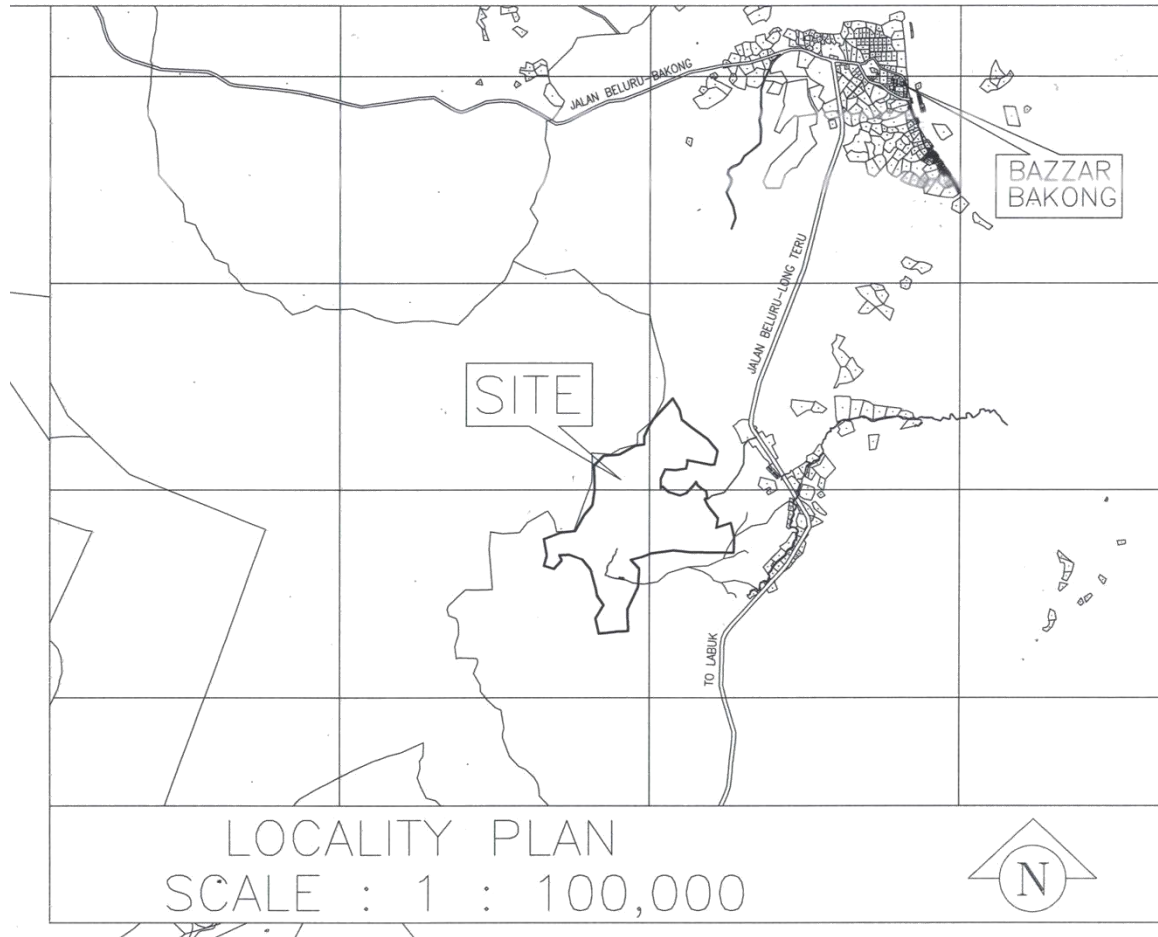
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Audit:	Date:	Audit Team	
Stage I Audit	28&29 th November 2018	Lead Auditor	Abdul Khalik Bin Arbi ; Certified MSPO Supply Chain Certification Auditor (SGS Malaysia), Certified Lead Auditor QEHS (SGS Malaysia), Certified MSPO Auditor (SGS Malaysia), Registered NREB Consultant, Safety and Health Certificate (Attendance), Degree in Environmental Science (University Malaysia Sabah); 15 years working experience related to palm oil in consultancy such as Environmental Impact Assessment (EIA), Environmental Monitoring Plan (EMP), Environmental Monitoring Report (EMR) and Erosion Sedimentation Control Plan (ESCP); Site and documentation audit based on Principles 1 to 6 of MS 2530-3:2013.
		Audit Member	Jeffrey Denis Ridu ; Certified Lead Auditor QEHS (SGS Malaysia), Certified MSPO Auditor (SGS Malaysia), MSc Plantation Management (UPM), BS Agbusiness (Iowa State University, USA), DPIM (ITM Perlis); 21 years working experience related to palm oil; Site and documentation audit based on Principles 1 to 6 of MS 2530-3:2013.
Stage II Audit	15 th January 2019	Lead Auditor	Jeffrey Denis Ridu ; Certified Lead Auditor QEHS (SGS Malaysia), Certified MSPO Auditor (SGS Malaysia), MSc Plantation Management (UPM), BS Ag business (Iowa State University, USA), DPIM (ITM Perlis); 21 years working experience related to palm oil; Site, documentation audit and interview based on Principles 1 to 6 of MS 2530-3:2013.
		Audit Member	Fiano Laka Anak Pakun ; Certified Lead Auditor QEHS (SGS Malaysia), Certified MSPO Auditor (SGS Malaysia), BSc (Hons) Plantation Technology & Management (UiTM Shah Alam), DPIM (UiTM K Samarahan); 4 years working experience related to palm oil; Site, documentation audit and interview based on Principles 1 to 6 of MS 2530-3:2013.

Review by:

Clients Review:	1) Hiew Koi Hien ; Estate Manager; 0198856072 2) Oh, Li Chin ; Documentation; 01106075885	Date:	31 January 2019
Peer Reviewer 1:	Name: Rohana Parilla Bt Abdul Salam Field of expertise: Sustainability, wildlife management, occupational health & safety Contact: +6012 583 7275	Date:	06 February 2019
Peer Reviewer 2:	Name: Hong Wai Onn Field of expertise: Palm oil mill management, operations & processing Contact: +6019 8532200	Date:	07 February 2019



1.2 Audit Objectives

The objectives of this audit were:

- to confirm that the management system conforms with all the requirements of the MSPO standard;
- to confirm that the organization has effectively implemented the planned MSPO management system;
- to confirm that the management system can achieve the organization's policy objectives.

1.3 Audit Criteria

The criteria of this audit were based on the MPOCC scheme requirements and **MS2530-3:2013 Part 3: General Principles for Oil Palm Plantations and Organized Smallholders**

Table 1: Estate Locality

Estate	Location Address	Geo-Coordinate (GPS)	
		Long.:	Lat.:
Usaha Asijaya Sdn Bhd	NCR Land, Sungai Temam, Bakong, 98000 Miri, Sarawak	N 5434890.923	E 2487195.712

Table 2: Area Statement

Area Statement (Ha)				
Estate	Titled Area (Ha)	Planted (Ha) (Matured)	Conservation / HCV (Ha)	Others (Ha) (Immature)
Usaha Asijaya Sdn Bhd	385.53	262.60	0.00	122.93
TOTAL	385.53	262.60	0.00	122.93

COMPANY'S NAME & LOCATION

COMPANY NAME:	Usaha Asijaya Sdn Bhd			
COMPANY REGISTRATION NO.:	661352-H			
MPOB LICENCE NO:	525454002000 <i>Menjual dan mengalih FFB Bagi tempoh Satu (01) tahun bermula dari 01/01/2019 hingga 31/12/2019 (383.53 ha)</i>			
CLIENT REF.NO:	00001 / 11/2018			
CONTACT DETAILS	NAME:	Mr Hiew Koi Hien	DESIGNATION:	Estate Manager
	PHONE:	0198856072	EMAIL:	future9398@yahoo.com
NO. OF MILL:	NIL	NO. OF ESTATE(S):	1	
MILL CAPACITY:	NIL	TOTAL CERTIFIED AREA:	385.53 Ha	
TYPE CERTIFICATION:	OF Individual	TOTAL PLANTED AREA:	262.60 Ha	
ADDRESS:	<u>Headquarters</u> Lot 1833, 1 st Floor, Piasau Industrial Estate, 98000 Miri, Sarawak <u>Estate Address</u>	TEL:	085-324743	
		Fax:	NIL	
		E-MAIL:	usahaasia@hotmail.com	
		Website:	-	

	NCR Land, Sungai Temam, Bakong, 98000 Miri, Sarawak		
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The scope of this assessment is the following operations of in:

SCOPE
Estate operations, comprising of all activities in the oil palm plantation covering the production of Fresh Fruit Bunches (FFB)
PROPOSED/ AMENDED SCOPE
No change

1. EXISTING CERTIFICATION

Currently are being certified to other certification schemes as below

Current Certification <i>(Please tick x in the applicable boxes)</i>			
	ISO 9001		HACCP
	EMS 14001		RSPO
	OHSAS 18001/ISO 45001		ISCC
	ISO 22001		Others:
X	None in the Above		

2. AUDIT METHOD AND PROGRAMME

The audit was conducted in 2 audit man days which involving 1 estate of Usaha Asijaya Sdn Bhd . The audit covers documentation review for internal procedures, management system, field inspection as well as identification of any significant issues for both environment or social issues and also the peer reviewer process as per ACB-OPMC-3. The 30 days of stakeholder consultation prior to the audit date as required by ACB-OPMC -4.

The audit evidence obtained was based on a sample of the information; thereby introducing an element of uncertainty. The methodology for objective evidence collection included physical site inspection, observation of tasks and processes, interview with workers, families and stakeholders, documentation review and monitoring data.

SITE SAMPLING

Sampling Methodology and Risk Determination
Not Applicable.

3. ASSESSMENT FINDINGS

The specific processes, activities and functions reviewed are detailed in the **Audit Plan (Appendix D)**. Details of collected evidence and audit trail of this audit are presented in the separate MSPO checklist (**Appendix E**). As outlined, objective evidence was obtained separately for each of the MSPO requirements (Indicators) for the mills and estates. The results for each indicator from each of the operational areas were evaluated to provide an assessment of conformity. A statement is provided for each of the indicators in order to support the findings of the assessment team.

There are 6 (6 Major NC; 0 Minor NC and 0 Observation) been raised on the MSPO checklist Part 3. Details for each **Audit Findings** are given in **Appendix B**.

Major NCs have been closed within the period of 90 days after the Main assessment. Minor Non-compliances and Observations will be followed up during the next Annual Surveillance Audit which is scheduled to be conducted within the period of twelve months after the Report approval of Main Assessment. Corrective Action Plan (CAP) is required to be submitted for all finding within 30 days of the assessment. Details for each **Corrective Action Plan & Evidence** are given in **Appendix C**.

Based on the audit, it was apparent that:

The internal audit program has been fully implemented and demonstrates effectiveness as a tool for maintaining and improving the management system	√
The management review process is adequate and effective.	√
The organization has met its objectives and targets and monitored progress towards their achievement.	√
The management system documentation confirms with the requirements of the MSPO audit standard.	√

4. PREVIOUS AUDIT FINDINGS – IF APPLICABLE

Nonconformity identified during previous audits has been corrected and the corrective action continues to be effective	NIL
Not adequately addressed nonconformity identified during previous audit activities and the specific issue has been re-defined in the nonconformity section of this report.	NIL

5. STAKEHOLDERS CONSULTATION

Please see **Appendix A** for the list of stakeholders based on categories which was contacted during the commencement of this audit.

6. AUDIT SUMMARY AND RECOMMENDATION

The audit team concludes that the organization fulfill the requirements of the MS 2530-3 standard and demonstrated the ability of the system to systematically achieve agreed requirements for products or services within the scope and the organization's policy and objectives.

The audit team recommends that, MSPO certification be: Granted to Estate of Usaha Asiajaya Sdn Bhd.

APPENDIX A: STAKEHOLDERS CONTACTED

Stakeholders Categories	Stakeholder's Input / Comments	Clients Feedback / Response
Government (External) (MPOCC) Ms Monica Mone	Have close and good relationship.	Maintain the good relationship.
NGO (External) (WWF Malaysia) Ms Andrea Chin	No issues.	Maintain the good relationship.
Contractors/Suppliers (External) (Katay Engineering Sdn Bhd) Lunsak Gatal	Know about MSPO and have no issues with estate.	Maintain the good relationship.
Indigenous people/ Local communities (External) (Manggau ak Leok & Edward Ganie Jaing)	The estate management support local communities and have close and good relationship.	Maintain the good relationship.
General Workers (Internal) (Arif Yaja)	Happy with working condition and facilities. No issues with estate.	Maintain the good relationship.

APPENDIX B: AUDIT FINDINGS

Refer to the Attachment

DIMA CERTIFICATION AUDIT PLAN

Stage: 2

1. Scope of Certification: Operations, comprising of oil palm plantation which includes the production of Fresh Fruit bunches (FFB).
2. Client Reference No: **MY130001**
3. Date of Audit: 15 January 2019
4. Last Audit: 28&29 November 2018
5. Organization name and address: **Usaha Asiajaya Sdn Bhd**
Headquarters
Lot 1833, 1st Floor, Piasau Industrial Estate,
98000 Miri, Sarawak
Estate Address
NCR Land, Sungai Temam, Bakong,
98000 Miri, Sarawak
6. Audit Reference Standards: MS2530-3 Part 3: General principles for oil palm plantations and organized smallholders
7. Audit objectives:

To evaluate the implementation, including effectiveness of **Usaha Asiajaya Sdn Bhd** on **MS 2530-3:2013** Malaysian Sustainable Palm Oil (MSPO) documentation. It shall include at least the following:

- a. Information and evidence about conformity to all requirements of the applicable management system standard or other normative document;
- b. Performance monitoring, measuring, reporting and reviewing against key performance objectives and targets (consistent with the expectations in the applicable management system standard or other normative document);

- c. Performance as regards legal compliance;
 - d. Operational control of the processes;
 - e. Internal auditing and management review (if applicable);
 - f. Management responsibility and policies;
 - g. Links between the normative requirements, policy, performance objectives and targets (consistent with the expectations in the applicable management system standard or other normative document), any applicable legal requirements, responsibilities, competence of personnel, operations, procedures, performance data and internal audit findings and conclusions.
8. Plantation / Mill Sites: Plantation
9. Contact Persons: Mr Hiew Koi Hien
10. Audit Team Members:
- | | | |
|----|-------------------|--------------------------|
| a. | Lead Auditor (LA) | Jeffrey Denis Ridu (JDR) |
| b. | Auditor 1 (A1) | Fiano Laka Pakun (FLP) |
11. Standards Malaysia Assessor: (If available)
Role: Witnessing Assessor

DIMA CERTIFICATION SDN BHD AUDIT PLAN

12. Facilities and assistance needed:
- a. A meeting room.
 - b. A representative of your organization, acting as a guide (e.g. Safety & Health Officer(s), Sustainable Manager) to accompany / assist each auditor.
 - c. Personal Protective Equipment (if applicable).
 - d. MSPO documentation
 - e. Availability of appropriate auditee(s) according to the audit schedule.
13. Confidentiality Requirements:
- All members of the assessment team have given written undertaking of confidentiality.

All the findings and deliberations related to this assessment will be strictly kept confidential between Dima Certification Sdn Bhd, the team members.

14. Auditing Language: English and Bahasa Malaysia

15. Reporting

- a) Language : English
- b) Format : Verbal and written
- c)

16. Audit Agenda: Refer to Appendix A

Please note the audit plan merely serves as a guide and will need to be somewhat flexible, as activities may vary, depending on the situations the auditors uncover.

17. Others: Times are approximate and will be confirmed at the opening meeting prior to commencement of the audit. Auditors reserve the right to change or add to the elements listed before or during the audit depending on the results of on-site investigation.

Prepared by:

JEFFREY DENIS RIDU

AUDIT AGENDA

APPENDIX A

Day 1 (15.01.2019)

TIME	ACTIVITIES	AUDITOR(S)		
	STANDARDS: MS 2530-3	LA	A1	A2
0900 – 0930 hrs	Opening Meeting: <ul style="list-style-type: none"> • Introduction of auditors • Audit Methodology • Confirmation of Scope of certification and Standards Audit Reporting • Audit Reporting • Facilities and Guide • Working & Reporting Language 	√	√	NIL
	USAHA ASIAJAYA SDN BHD , to ensure top management, MR and related managers are available for this meeting. Short induction and introduction on the organization operations to be conducted by USAHA ASIAJAYA SDN BHD			
1200 – 3400 hrs	Verify Estate Operation: <ol style="list-style-type: none"> a. Interview session with stakeholders (Government Agencies, Local Community, Workers & etc) b. Safety, Health, Social & Workers House c. Legal compliance with authorities d. Environmental, Chemical Storage, Waste Management & Landfill e. Buffer zones, Biodiversity & Boundary Stones (if any) f. Mill best practices g. Traceability, mass balance and calibration h. MSPO Records verification etc. 	√	√	NIL
	Lunch Break			

* Time adjustment based on work shift – applicable to MS 2530-4

AUDIT AGENDA

APPENDIX A

Day 1 (15.01.2019) - continuation

TIME	ACTIVITIES STANDARDS: MS 2530-3	AUDITOR(S)		
		LA	A1	A2
1300 – 1500 hrs	4.1 Management Commitment and Responsibility	√	√	
	4.2 Transparency	√	√	
	4.4 Social responsibility, health, safety and employment condition	√	√	
1500 - 1600	4.3 Compliance to legal requirements	√	√	
	4.5 Environment, natural resources, biodiversity and ecosystem services	√	√	
	4.6 Best Practices	√	√	
1600 – 1700hrs	Verification of any outstanding issues and auditors' discussion			
	Auditor discussion, report preparation and acceptance of findings session with Management Representative.			
1700 hrs	End of Audit			

* Time adjustment based on work shift – applicable to MS 2530-4

DIMa certification	Document:	DMC/MSPO/AR-S
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End of Report